SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940					
1. Name and A Grieves Ia	ddress of Reporting Pe I <u>II</u>	rson *	2. Issuer Name and Ticker or Trading Symbol <u>DMC Global Inc.</u> [BOOM]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Ow			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/26/2021	x	Officer (give title below)	Other (specify below)		
C/O DMC G	BLOBAL INC.				Pres & Mng Dir, DynaEnergetics			
11800 RIDGE PARKWAY, SUITE 300			4. If Amendment, Date of Original Filed (Month/Day/Year)	idual or Joint/Group Filing Form filed by One Rep	oup Filing (Check Applicable Line)			

(Street) BROOMFIELD	СО	80021
(City)	(State)	(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Ir 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
			Code V		Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/26/2021		М		1,525	Α	\$ <mark>0</mark>	45,690	D		
Common Stock	02/26/2021		F		725(1)	D	\$64.53	44,965	D		
Common Stock	02/26/2021		М		1,545	A	\$ <mark>0</mark>	46,510	D		
Common Stock	02/26/2021		F		734(1)	D	\$64.53	45,776	D		
Common Stock	02/27/2021		М		2,000	A	\$0	47,776	D		
Common Stock	02/27/2021		F		950 ⁽¹⁾	D	\$62.99	46,826	D		
Common Stock	02/27/2021		М		6,000	A	\$0	52,826	D		
Common Stock	02/27/2021		F		2,850(1)	D	\$62.99	49,976	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		5. Number of Derivative Securities 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security (I 3 and 4) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security (I 3 and 4)		derlying	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(2)	02/26/2021		М			1,525	(3)	(3)	Common Stock	1,525	\$ <mark>0</mark>	1,525	D	
Restricted Stock Units	(2)	02/26/2021		М			1,545	(4)	(4)	Common Stock	1,545	\$ <mark>0</mark>	3,090	D	
Restricted Stock Units	(2)	02/27/2021		М			2,000	(5)	(5)	Common Stock	2,000	\$ <mark>0</mark>	0	D	
Performance Share Units	(6)	02/27/2021		М			6,000	(7)	(7)	Common Stock	6,000	\$ <mark>0</mark>	0	D	

Explanation of Responses:

1. Represents withholding of shares to satisfy tax obligations upon the vesting of restricted stock

2. Each Restricted Stock Unit ("RSU") represents the right to receive one share of the common stock of the Issuer.

3. On February 26, 2019, 4,576 RSUs were granted to the reporting person. 1,526 of these RSUs vested on February 26, 2020, another 1,525 RSUs vested on February 26, 2021, and the remaining 1,525 RSUs will vest on February 26, 2022.

4. On February 26, 2020, 4,635 RSUs were granted to the reporting person. One-third of these RSUs will vest on each of the first, second and third anniversaries of the grant date.

5. On February 27, 2018, 6,000 Restricted Stock Units ("RSUs") were granted to the reporting person. One-third of these RSUs vested on each of the first, second, and third anniversaries of the grant date.

6. Each Performance Share Unit ("PSU") represents the contingent right to receive one share of the Issuer's common stock based on certain vesting conditions.

7. On February 27, 2018, 3,000 PSUs were granted and the vesting and award of Issuer's common stock was contingent upon achievement of specified performance targets over the three year period from 2018 through 2020, with potential to earn a number of shares of common stock between 0% and 200% of the number of target PSUs awarded. The Issuer determined that the maximum performance conditions were fully satisfied, and as a result, 6,000 PSUs vested.

Remarks:

/s/ Andrew Nelson, by Power of Attorney

03/01/2021

Date

OMB APPROVAL

3235-0287

0.5

OMB Number

Estimated average burder

hours per response:

Form filed by More than One Reporting Person

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.